

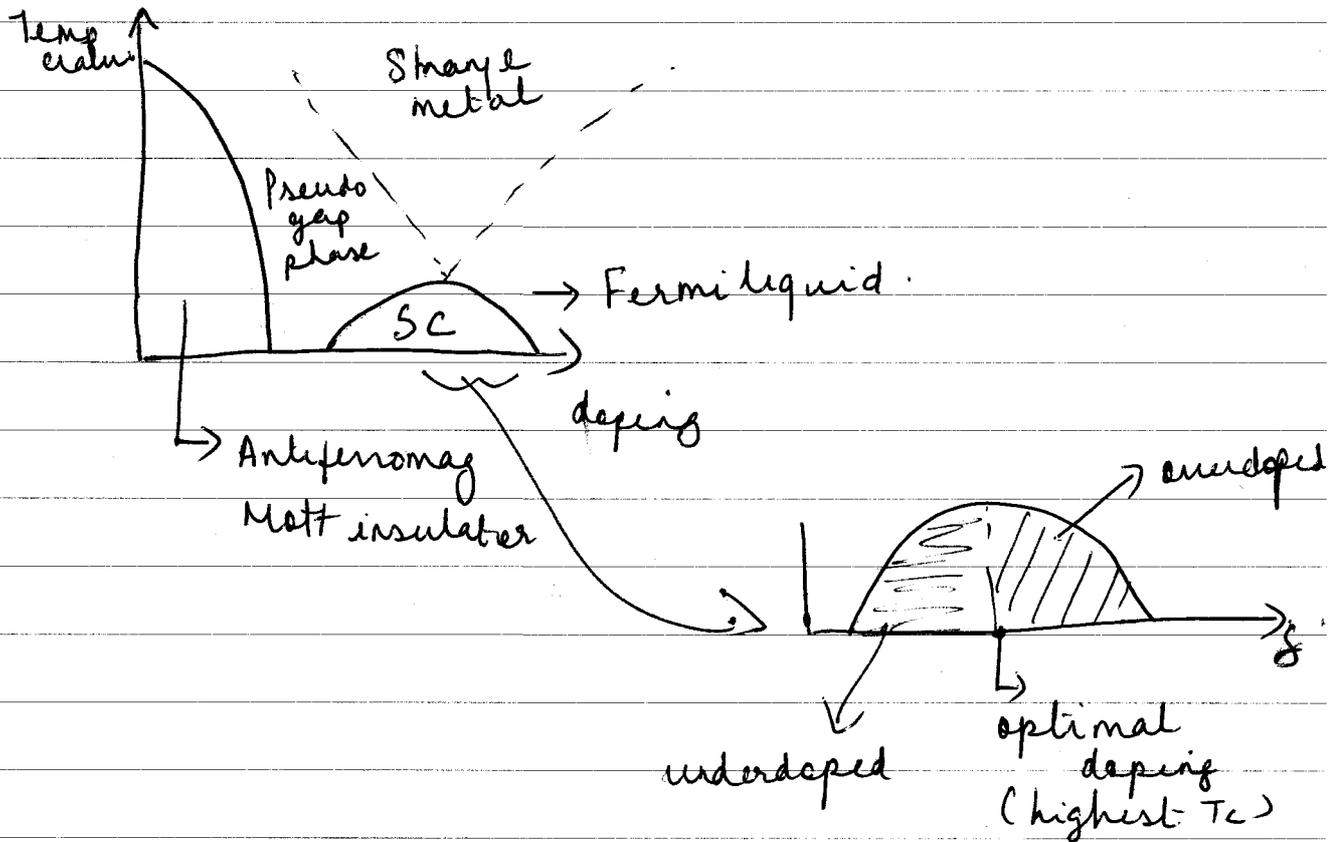
# #6 Unconventional SC

→ high  $T_c$  cuprates,  
MgB<sub>2</sub>, C<sub>60</sub>, organics  
sulfates, pnictides...  
heavy fermions.

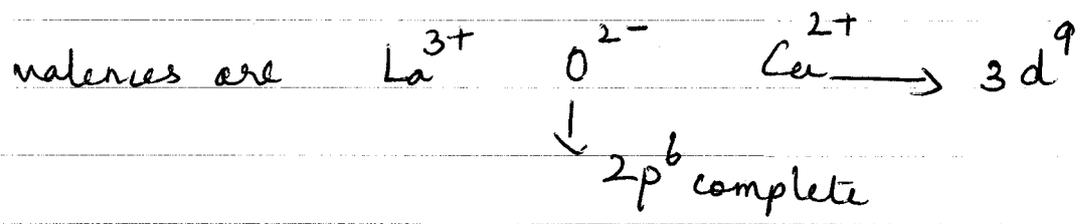
## Cuprate superconductivity: (1987, Bednorz + Müller)

- ceramics with Cu-O planes playing primary role in SC.
- highly anisotropic materials.
- parent compound → antiferromagnetic and insulating

### General phase diagram for hole doping



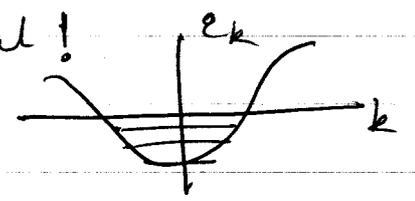
Consider  $\text{La}_2\text{CuO}_4$  (insulating parent compound)



$\text{Cu} \rightarrow 3d^9$  state  $\Rightarrow$  single hole (10 electrons in a d shell)

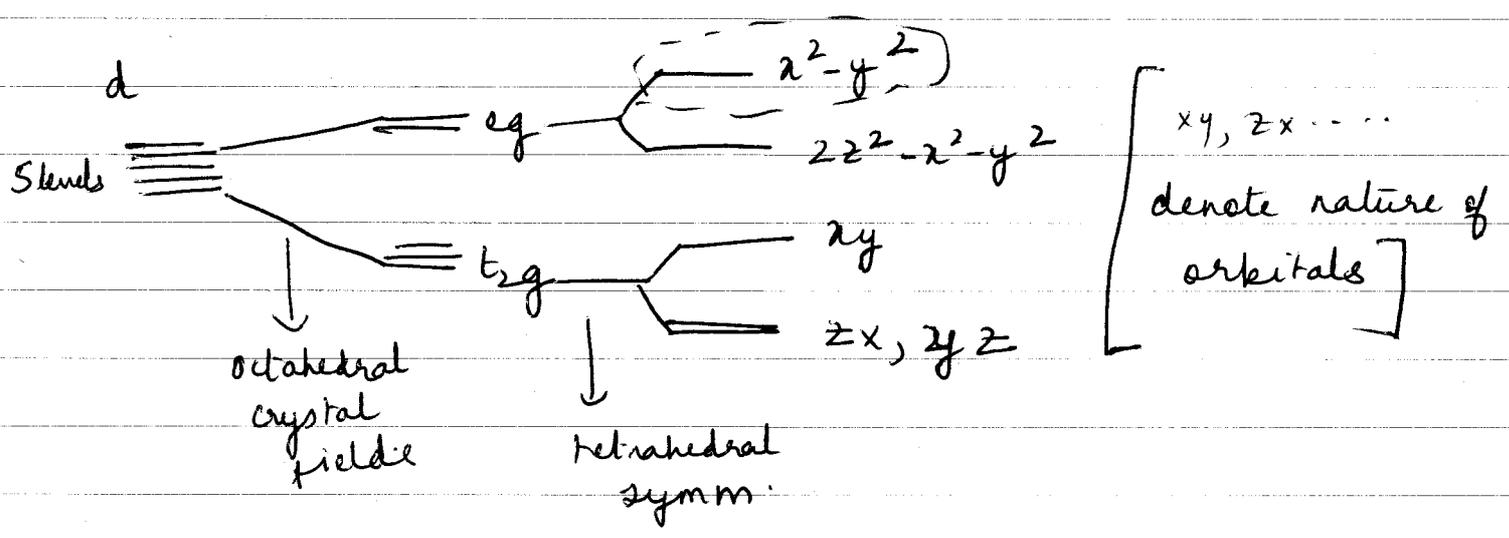
$\text{La}_2\text{CuO}_4$  expected to have one hole/unit cell  $\rightarrow$  half-filled band

predicted to be a paramagnetic metal!

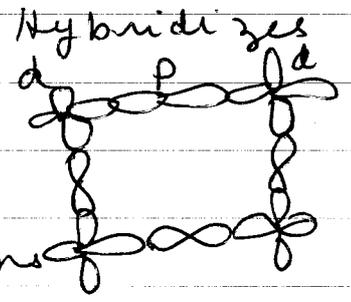


But it is an insulator  $\rightarrow$  failure of Band Theory.

Crystal field splittings:



$d_{x^2-y^2}$  state plays fundamental role!  
 with  $0$  p level to generate hopping



\* Interactions matter !!

Consider Coulomb interactions between electrons

$E = \text{kinetic energy} + \text{potential energy}$

For strong interactions energy is minimized by localizing the electrons. This is essentially a Mott insulator.

Minimal Model to describe high Tc:

3-band model of p (O) + d (Cu) levels.

$$H = -t_{pd} \sum_{\langle ij \rangle} (p_{j\sigma}^\dagger d_{i\sigma} + h.c.) - t_{pp} \sum_{\langle ij \rangle} (p_{j\sigma}^\dagger p_{i\sigma} + h.c.)$$

$$+ E_d \sum_i n_i^d + E_p \sum_i n_i^p + U_{dd} \sum_i n_{i\uparrow}^d n_{i\downarrow}^d$$

$$+ U_{pp} \sum_i n_{i\uparrow}^p n_{i\downarrow}^p + U_{pd} \sum_i n_i^d n_i^p$$

$$n_i^\alpha = n_{i\uparrow}^\alpha + n_{i\downarrow}^\alpha \quad n_p^i = \sum_\sigma p_{i\sigma}^\dagger p_{i\sigma} \quad n_i^d = \sum_\sigma d_{i\sigma}^\dagger d_{i\sigma}$$

$t_{pd}, t_{pp}$  → hopping matrix elements from hybridization of orbitals

$E_d, E_p$  → fermi levels of p + d levels

$U_{dd}, U_{pp}, U_{dp}$  → Coulomb repulsion.

Model is defined on a square lattice where each site has both p + d levels

If  $\Delta \equiv E_p - E_d > 0$  then first hole doped into system will prefer a d level.

↳ Complicated model to solve.

→ For small values of doping physics of above model captured by t-J model.

Parent of t-J model is the Hubbard model

→ MINIMAL MODEL FOR Electrons/holes interacting on a lattice.

$$H^{\text{Hub}} = - \sum_{\langle ij \rangle} t_{ij} c_i^\dagger c_j + \mu \sum_i c_i^\dagger c_i + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

$\mu \rightarrow$  chemical potential — fixes filling.

Consider the half-filled case:  $n=1$  [one particle / site on average]

On bipartite, non-frustrated lattices, do Hartree-Fock mean field theory.

$$U n_{i\uparrow} n_{i\downarrow} \Rightarrow U [\langle n_{i\uparrow} \rangle n_{i\downarrow} + \langle n_{i\downarrow} \rangle n_{i\uparrow}] + \text{other terms}$$

If we have an antiferromagnetic order in ground state

then, antiferromoment

$$m_i = \langle n_{i\uparrow} - n_{i\downarrow} \rangle = 2\delta (-1)^i = m_0 \cos(\vec{Q} \cdot \vec{R}_i)$$

i.e. where  $\vec{Q} = (\pi, \pi, \pi)$

$$\langle n_{i\sigma} \rangle = 1 + \sigma (-1)^i \delta$$

$\hookrightarrow$  Neel ordering

Just like BCS theory, we diagonalize the hamiltonian

and get a gap equation for the ground state

$$\Delta = U \delta$$

$$\delta = \frac{\delta U}{(2\pi)^d} \int \frac{d^2 k}{(E_k^2 + \Delta^2)^{1/2}} \quad \text{[Two dimensions]}$$

Trivial soln  $\delta = 0$ , non-trivial soln  $\delta \neq 0$ .

Non-trivial soln exists

+ gap is opened in dispersion by the formation of antiferro ordering (insulator)

$$E_k = \pm \sqrt{\epsilon_k^2 + \Delta^2} \quad \Delta \approx t e^{-a \sqrt{t/u}}$$

$t \rightarrow$  nearest neighbor hopping  
 $a \rightarrow$  coefficient.

System goes antiferromagnetic and insulating for arbitrary small repulsive interactions.

[Result is true beyond meanfield].

Explains the parent compound.

→ Dope the parent compound!  
 Theoretical study of doped Hubbard model in  $d=2$  is quite complex  $\rightarrow$  numerical methods  
 Dynamical Mean field theory . . . .

In the limit of large  $U$  (where meanfield fails), the Hubbard models at half filling maps onto the Heisenberg model for spin-half

$$H^{Heis} = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j \quad J_{ij} = \frac{2(t_{ij})^2}{U}$$

where  $\vec{S}_i = \frac{1}{2} \sum_{\alpha\beta} c_{i\alpha}^\dagger \left( \frac{\vec{\sigma}}{2} \right)_{\alpha\beta} c_{i\beta}$  where the electrons localize completely.

Mermin-Wagner theorem states no magnetic order at finite temperature for a  $d=2$  system!

Finite temperature order is stabilized by other planes in the full 3-d system.

[No good explanation for pseudogap and strange metal phases]

Superconducting phase [cond-mat/0209476]

•  $\frac{h}{2e}$  flux quantization observed (Josephson effect)

•  $S=0$  singlet pairing (magnetic susceptibility  $\chi(T) \rightarrow 0$  as  $T \rightarrow 0$ )

• "Gap" shape  $\Delta(k) = \Delta_0 [\cos k_x - \cos k_y] / 2$   
look at slides d wave structure. changes sign!

• Sharp quasiparticles observed below  $T \ll T_c$   
 $\rightarrow$  absent above  $T_c$  (pseudogap + strange metal)

• Transport and other properties show high anisotropy.

• Extreme-type II materials  $B_{c2}$  very high;  
coherence length  $\xi \sim 3-10$  lattice spacings

• C-axis resistivity shows Cooper pairs in different layers effectively independent

• absence of substantial isotope effect  
 $\Rightarrow$  non phononic pairing mechanism

Possible attraction channels:

- spin fluctuations
- stripe ordering
- excitons
- and many others !!

Fundamental Egg or chicken question:

"Is superconductivity a consequence of anomalous high temperature normal phase or vice versa?"

NO CLEAR ANSWER!

Symmetry of order parameter.

Consider 2 particle wave function like in Cooper pair problem.

$$\Psi(\vec{p}, \vec{k}) = \Phi(\vec{p}) \chi_{\alpha\beta} \Psi(\vec{k})$$

$\downarrow$  relative coordinate       $\rightarrow$  spin       $\rightarrow$  centre of mass

$$\Phi(\vec{p}) \equiv R(p) Y_{lm}(\theta, \phi)$$

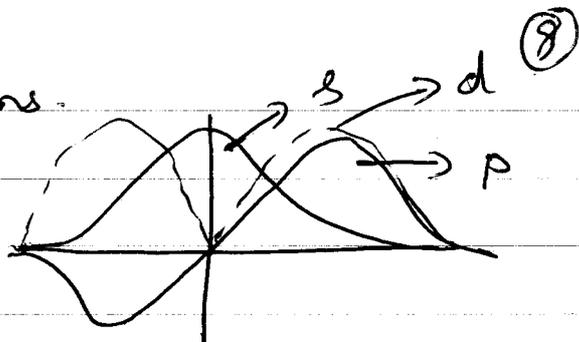
In general  $Y_{lm}$  replaced by functions related to crystal symmetry

Antisymmetry implies,

- $l=0$ , spin singlet → s wave SC.
- $l=1$ , spin triplet → p wave SC.
- $l=2$ , spin singlet → d wave SC.

From the point of view of wave fns.

d-wave fn. has nodes 1.



BC  $\rightarrow$  order operator

$$\hat{\Delta}_{d_{x^2-y^2}} = \sum_{\vec{k}} \Delta_{d_{x^2-y^2}}(\vec{k}) c_{\vec{k}\uparrow}^\dagger c_{-\vec{k}\downarrow}^\dagger$$

$$= \frac{\Delta_0}{2} \sum_{\vec{l}} \left[ c_{\vec{l}+\vec{x}\uparrow}^\dagger c_{\vec{l}\downarrow}^\dagger - c_{\vec{l}+\vec{x}\downarrow}^\dagger c_{\vec{l}\uparrow}^\dagger \right. \\ \left. - (c_{\vec{l}+\vec{y}\uparrow}^\dagger c_{\vec{l}\downarrow}^\dagger - c_{\vec{l}+\vec{y}\downarrow}^\dagger c_{\vec{l}\uparrow}^\dagger) \right. \\ \left. + (c_{\vec{l}-\vec{x}\uparrow}^\dagger c_{\vec{l}\downarrow}^\dagger - c_{\vec{l}-\vec{x}\downarrow}^\dagger c_{\vec{l}\uparrow}^\dagger) \right. \\ \left. - (c_{\vec{l}-\vec{y}\uparrow}^\dagger c_{\vec{l}\downarrow}^\dagger - \dots) \right]$$

$\vec{x}$  &  $\vec{y}$  are unit vectors on square lattice.

$\hat{\Delta}$  is a linear combination of <sup>spin</sup> singlets between a site "l" and its nearest neighbors.

d-wave gap goes to zero at certain points on Fermi surface.

What are the consequences?

Consider density of states  $\rho(E) = \sum_{\vec{k}} \delta(E - \epsilon_{\vec{k}})$ .

$$\rho(E) \propto \int \frac{d\Omega_{\vec{k}}}{4\pi} \frac{E}{\sqrt{E^2 - |\Delta_{\vec{k}}|^2}} \theta(E - |\Delta_{\vec{k}}|) \quad \left( \begin{array}{l} d\Omega_{\vec{k}} \\ \rightarrow \text{angular} \\ \text{integral} \end{array} \right)$$

for s-wave  $\Delta_{\vec{k}} = \Delta \quad \forall \vec{k}$   
and  $\rho(E) \propto \frac{|E|}{\sqrt{|E|^2 - \Delta^2}}$  (gap in spectrum)

High- $T_c$ : d-wave

$$\Delta(k) \sim \Delta_0 \cos 2\phi_k$$

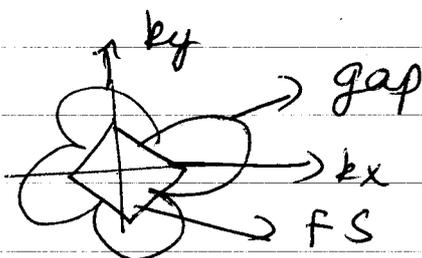
(9)

$$\rho(E) \propto \int_0^{E/\Delta_0} d\phi \frac{E}{\sqrt{E^2 - \Delta_0^2 \phi^2}} \sim \frac{|E|}{\Delta_0}$$

(no gap in density of states!)

→ Jump in specific heat at  $T_c$  is also much reduced in d-wave materials

$$\rightarrow \left\langle \Delta_{d\text{-wave}}(\vec{k}) \right\rangle_{\text{Fermi surface}} = 0$$



$$\left\langle \Delta_{s\text{-wave}}(\vec{k}) \right\rangle_{\text{Fermi surface}} \neq 0$$

This suggests that any disorder or impurities which scatter the  $e^-$  or holes tend to isotropize the system and tends to suppress  $T_c$  ↓

[experimentally verified]