

**Exercise 13.1 Dielectric Susceptibility of Free Electrons**

Consider a non-interacting one-dimensional gas of spinless electrons ( $\hbar = 1$ ),

$$\mathcal{H} = \frac{1}{L} \sum_k \frac{k^2}{2m} c_k^\dagger c_k. \quad (1)$$

We want to evaluate its linear response to an external scalar potential, i.e., a perturbation of the form

$$\delta\mathcal{H} = -e \int dx \phi(x, t) \hat{n}(x), \quad (2)$$

where  $\hat{n}(x) = c^\dagger(x)c(x)$ .

- a) To compute the linear response, use the Kubo-formalism (section 6.1) for the dielectric susceptibility,

$$\chi_e(x - x', t - t') = -i\Theta(t - t') e^2 \langle [\hat{n}_H(x, t), \hat{n}_H(x', t')] \rangle_{\mathcal{H}}, \quad (3)$$

where  $\hat{n}_H(x, t)$  represents the density operator in the Heisenberg picture. Show that the Fourier transform  $\chi(q, \omega)$  of  $\chi_e(x - x', t - t')$  can be written as

$$\chi(q, \omega) = e^2 \sum_k \frac{f(\epsilon_{k+q}) - f(\epsilon_k)}{\omega + \epsilon_k - \epsilon_{k+q} + i\eta}, \quad (4)$$

where  $f(\epsilon)$  denotes the Fermi function.

- b) The imaginary part of the so-called Lindhard function  $\chi(q, \omega)$  obtained in a) encodes the spectrum of the (charge) excitations that couple to  $\phi(x, t)$ . Derive conditions for the region in the  $(q, \omega)$ -plane for which  $\text{Im} \chi(q, \omega) \neq 0$  holds and make a schematic graph. Argue that the “particle-hole excitations” also fulfill the same conditions.

*Hint:* In order to consider excitations from the ground state, consider the zero temperature limit and first show that  $\chi(q, \omega)$  can be written as

$$\chi(q, \omega) = \sum_{|k| \leq k_F} \left[ \frac{1}{\omega + \epsilon_k - \epsilon_{k+q} + i\eta} - \frac{1}{\omega - \epsilon_k + \epsilon_{k+q} + i\eta} \right]. \quad (5)$$

Then, take the continuum limit and obtain  $\text{Im} \chi(q, \omega)$  using the Dirac identity

$$\frac{1}{x \pm i0} = \mathcal{P} \frac{1}{x} \mp i\pi\delta(x), \quad (6)$$

where  $\mathcal{P}$  denotes the Cauchy principal value, and integration over  $x$  is implied.

**Office Hours:** Monday, December 19, 8 – 10 am (Andrei Plamada, HIT E 41.2)