

# Transport properties - Boltzmann equation

goal: calculation of conductivity  $\vec{j}(\vec{q}, \omega) = \sigma(\vec{q}, \omega) \vec{E}(\vec{q}, \omega)$

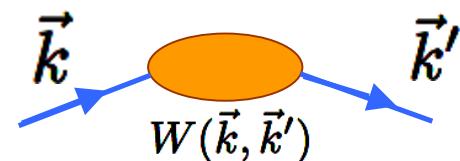
Boltzmann transport theory:

distribution function  $f(\vec{k}, \vec{r}, t) \frac{d^3 k}{(2\pi)^3} d^3 r$  number of particles in infinitesimal phase space volume around  $(\vec{p}, \vec{r})$

evolution from Boltzmann equation

$$\frac{D}{Dt} f(\vec{k}, \vec{r}, t) = \left( \frac{\partial}{\partial t} + \dot{\vec{r}} \cdot \vec{\nabla}_{\vec{r}} + \dot{\vec{k}} \cdot \vec{\nabla}_{\vec{k}} \right) f(\vec{k}, \vec{r}, t) = \left( \frac{\partial f}{\partial t} \right)_{\text{coll}}$$

collision integral for static potential



$$\left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = \int \frac{d^3 k'}{(2\pi)^3} W(\vec{k}, \vec{k}') [f(\vec{k}', \vec{r}, t) - f(\vec{k}, \vec{r}, t)]$$

# Transport properties - Boltzmann equation

relaxation time approximation

$$\left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = \int \frac{d^3 k'}{(2\pi)^3} W(\vec{k}, \vec{k}') [f(\vec{k}', \vec{r}, t) - f(\vec{k}, \vec{r}, t)]$$

$$\rightarrow \left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = - \frac{f(\vec{k}, \vec{r}, t) - f_0(\vec{k}, \vec{r}, t)}{\tau(\epsilon_{\vec{k}})}$$

small deviations
relaxation time
equilibrium distribution

$$f_0(\vec{k}, \vec{r}, t) = \frac{1}{e^{(\epsilon_{\vec{k}} - \mu)/k_B T} + 1}$$

electrons in oscillating electric field  $\vec{E}(t) = \vec{E}(\omega)e^{-i\omega t}$   $\dot{\vec{k}} = -e\vec{E}$

$$-i\omega \delta f(\vec{k}, \omega) - \frac{e \vec{E}(\omega)}{\hbar} \frac{\partial f_0(\vec{k})}{\partial \vec{k}} = -\frac{\delta f(\vec{k}, \omega)}{\tau(\epsilon_{\vec{k}})}$$

linearized  $\delta f \propto E$

# Transport properties - Boltzmann equation

$$\rightarrow \delta f(\vec{k}, \omega) = \frac{e\tau \vec{E}(\omega)}{\hbar(1 - i\omega\tau)} \frac{\partial f_0(\vec{k})}{\partial \vec{k}} = \frac{e\tau \vec{E}(\omega)}{\hbar(1 - i\omega\tau)} \frac{\partial f_0(\epsilon)}{\partial \epsilon} \frac{\partial \epsilon_{\vec{k}}}{\partial \vec{k}}$$

current density

$$\vec{j}(\omega) = -2e \int \frac{d^3 k}{(2\pi)^3} \vec{v}_{\vec{k}} f(\vec{k}, \omega) = -\frac{e^2}{4\pi^3} \int d^3 k \frac{\tau(\epsilon_{\vec{k}})[\vec{E}(\omega) \cdot \vec{v}]}{1 - i\omega\tau(\epsilon_{\vec{k}})} \frac{\partial f_0(\epsilon_{\vec{k}})}{\partial \epsilon_{\vec{k}}}$$

↓

$$\frac{-1}{4k_B T \cosh^2((\epsilon_{\vec{k}} - \mu)/2k_B T)}$$

concentrated at  $\mu$

$$j_\alpha(\omega) = \sum_\beta \sigma_{\alpha\beta}(\omega) E_\beta(\omega)$$

conductivity tensor

$$\sigma_{\alpha\beta} = -\frac{e^2}{4\pi^3} \int d\epsilon \frac{\partial f_0(\epsilon)}{\partial \epsilon} \frac{\tau(\epsilon)}{1 - i\omega\tau(\epsilon)} \int d\Omega_{\vec{k}} k^2 \frac{v_\alpha \vec{k} v_\beta \vec{k}}{\hbar |\vec{v}_{\vec{k}}|}$$

# Transport properties - Drude form

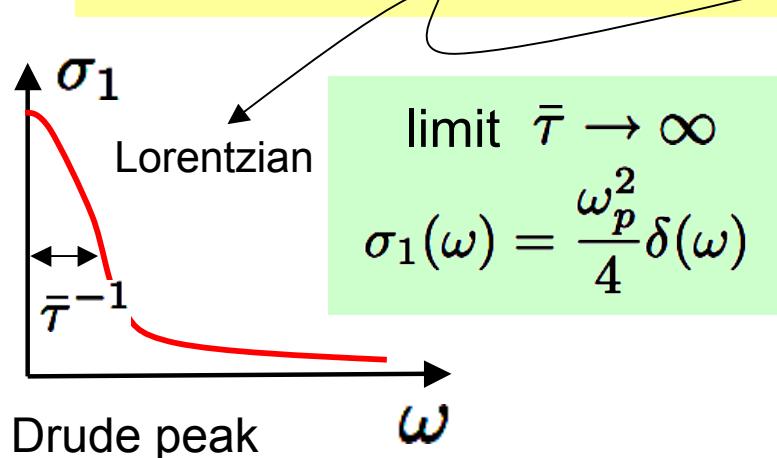
isotropic uniform     $\sigma_{\alpha\beta} = \delta_{\alpha\beta}\sigma$

dc-conductivity  
 $\omega = 0$

$$\sigma = -\frac{e^2 n}{m} \int d\epsilon \frac{\partial f_0}{\partial \epsilon} \tau(\epsilon) = \frac{e^2 n \bar{\tau}}{m} = \frac{\omega_p^2 \bar{\tau}}{4\pi}$$

ac-conductivity

$$\sigma(\omega) = \frac{\omega_p^2}{4\pi} \frac{\bar{\tau}}{1 - i\omega\bar{\tau}} = \frac{\omega_p^2}{4\pi} \left( \frac{\bar{\tau}}{1 + \omega^2\bar{\tau}^2} + \frac{i\bar{\tau}^2\omega}{1 + \omega^2\bar{\tau}^2} \right) = \sigma_1 + i\sigma_2$$



f-sum rule

$$\int_0^\infty d\omega \sigma_1(\omega) = \int_0^\infty d\omega \frac{\omega_p^2}{4\pi} \frac{\bar{\tau}}{1 + \omega^2\bar{\tau}^2} = \frac{\omega_p^2}{8}$$

# Electron-phonon interaction

real space

$$\hat{V}_{ep} = -e^2 \sum_s \int d^3r d^3r' \underbrace{\vec{\nabla} \cdot \hat{\vec{u}}(\vec{r})}_\text{ion lattice density fluctuation} V(\vec{r} - \vec{r}') \underbrace{\hat{\Psi}_s^\dagger(\vec{r}') \hat{\Psi}_s(\vec{r}')}_\text{screened Coulomb potential}$$

$k$ -space

$$\hat{V}_{ep} = i \sum_{\vec{k}, \vec{q}, s} \tilde{V}_{\vec{q}} \vec{q} \cdot \left\{ \hat{\vec{u}}_{\vec{q}} \hat{c}_{\vec{k} + \vec{q}, s}^\dagger \hat{c}_{\vec{k}, s} - \hat{\vec{u}}_{-\vec{q}}^\dagger \hat{c}_{\vec{k}, s}^\dagger \hat{c}_{\vec{k} + \vec{q}, s} \right\}$$

$$= 2i \sum_{\vec{k}, \vec{q}, s} \tilde{V}_{\vec{q}} \sqrt{\frac{\hbar}{2\rho_0 \omega_{\vec{q}}}} |\vec{q}| (\hat{b}_{\vec{q}} - \hat{b}_{-\vec{q}}^\dagger) \hat{c}_{\vec{k} + \vec{q}, s}^\dagger \hat{c}_{\vec{k}, s}$$

phonon operators

# Electron-phonon interaction

matrix elements of scattering processes

$$\begin{aligned} & \langle \vec{k} + \vec{q}; N_{\vec{q}'} | (\hat{b}_{\vec{q}} - \hat{b}_{-\vec{q}}^\dagger) \hat{c}_{\vec{k}+\vec{q},s}^\dagger \hat{c}_{\vec{k}s} | \vec{k}; N'_{\vec{q}'} \rangle \\ &= \langle \vec{k} + \vec{q} | \hat{c}_{\vec{k}+\vec{q},s}^\dagger \hat{c}_{\vec{k}s} | \vec{k} \rangle \left\{ \sqrt{N'_{\vec{q}'}} \delta_{N_{\vec{q}'}, N'_{\vec{q}'}, -1} \delta_{\vec{q}, \vec{q}'} - \sqrt{N'_{\vec{q}'} + 1} \delta_{N_{\vec{q}'}, N'_{\vec{q}'}, +1} \delta_{\vec{q}, -\vec{q}'} \right\}. \end{aligned}$$

collision integral

spontaneous emission

$$\begin{aligned} \left( \frac{\partial f}{\partial t} \right)_{\text{coll}} &= -\frac{2\pi}{\hbar} \sum_{\vec{q}} |g(\vec{q})|^2 \left[ \left\{ f(\vec{k}) \left( 1 - f(\vec{k} + \vec{q}) \right) (1 + N_{-\vec{q}}) \right. \right. \\ &\quad \left. \left. - f(\vec{k} + \vec{q}) \left( 1 - f(\vec{k}) \right) N_{-\vec{q}} \right\} \delta(\epsilon_{\vec{k}+\vec{q}} - \epsilon_{\vec{k}} + \hbar\omega_{-\vec{q}}) \right. \\ &\quad \left. - \left\{ f(\vec{k} + \vec{q}) \left( 1 - f(\vec{k}) \right) (1 + N_{\vec{q}}) \right. \right. \\ &\quad \left. \left. - f(\vec{k}) \left( 1 - f(\vec{k} + \vec{q}) \right) N_{\vec{q}} \right\} \delta(\epsilon_{\vec{k}+\vec{q}} - \epsilon_{\vec{k}} - \hbar\omega_{\vec{q}}) \right] \\ g(\vec{q}) &= \tilde{V}_{\vec{q}} |\vec{q}| \sqrt{\frac{2\hbar}{\rho_0 \omega_{\vec{q}}}} \end{aligned}$$

e-p-coupling

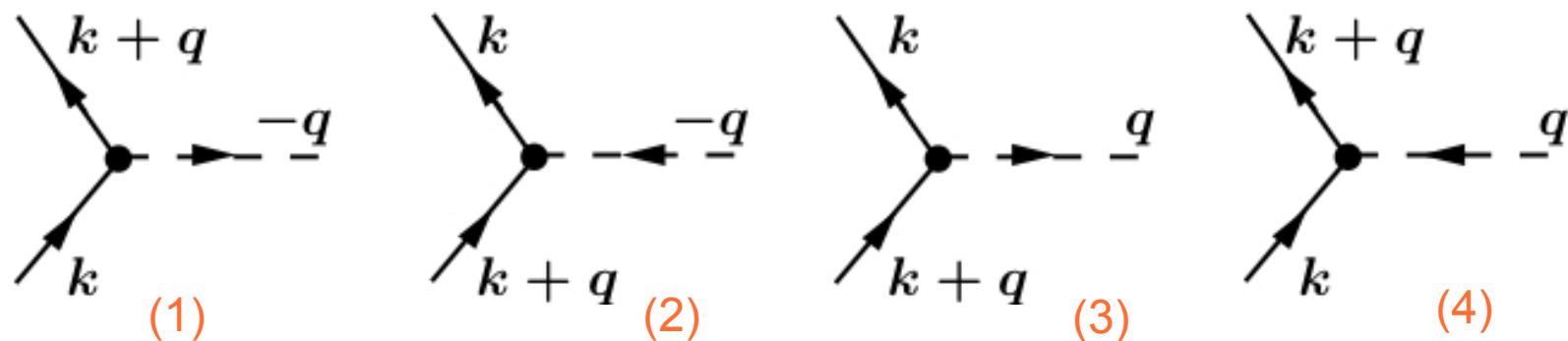
# Electron-phonon interaction

$$\left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = -\frac{2\pi}{\hbar} \sum_{\vec{q}} |g(\vec{q})|^2 \left[ \left\{ f(\vec{k}) \left( 1 - f(\vec{k} + \vec{q}) \right) (1 + N_{-\vec{q}}) \quad (1) \right. \right.$$

$$-f(\vec{k} + \vec{q}) \left( 1 - f(\vec{k}) \right) N_{-\vec{q}} \Big\} \delta(\epsilon_{\vec{k} + \vec{q}} - \epsilon_{\vec{k}} + \hbar\omega_{-\vec{q}}) \quad (2)$$

$$- \left\{ f(\vec{k} + \vec{q}) \left( 1 - f(\vec{k}) \right) (1 + N_{\vec{q}}) \quad (3) \right.$$

$$-f(\vec{k}) \left( 1 - f(\vec{k} + \vec{q}) \right) N_{\vec{q}} \Big\} \delta(\epsilon_{\vec{k} + \vec{q}} - \epsilon_{\vec{k}} - \hbar\omega_{\vec{q}}) \Big] \quad (4)$$



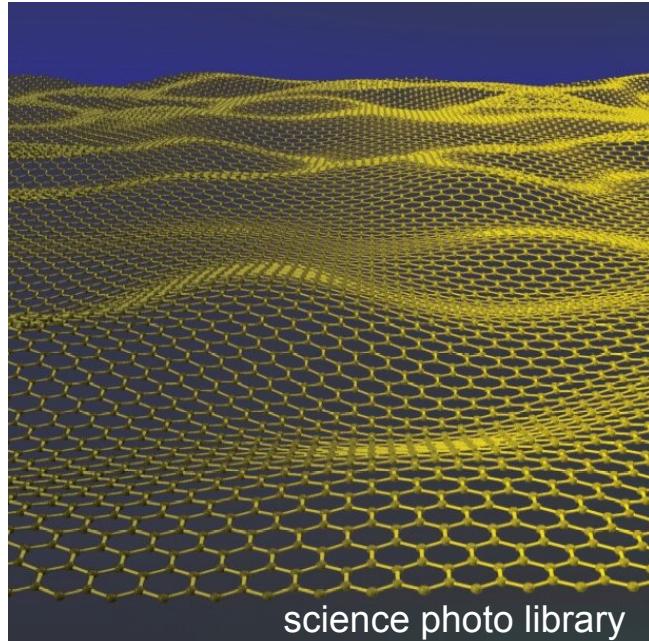
# Electron-phonon interaction

approximation: static potential limit (Born-Oppenheimer)

$$\left( \frac{\partial f}{\partial t} \right)_{\text{coll}} = \frac{2\pi}{\hbar} \sum_{\vec{q}} |g(\vec{q})|^2 2N(\omega_{\vec{q}}) [f(\vec{k} + \vec{q}) - f(\vec{k})] \delta(\epsilon_{\vec{k} + \vec{q}} - \epsilon_{\vec{k}})$$

real space view

$$\hat{V}_{ep} = -e^2 \sum_s \int d^3r d^3r' \vec{\nabla} \cdot \hat{\vec{u}}(\vec{r}) V(\vec{r} - \vec{r}') \hat{\Psi}_s^\dagger(\vec{r}') \hat{\Psi}_s(\vec{r}')$$



$$= \sum_s \int d^3r' U(\vec{r}') \sum_s \hat{\Psi}_s^\dagger(\vec{r}') \hat{\Psi}_s(\vec{r}')$$

potential due to quasi-static deformation

$$U(\vec{r}') = -e^2 \int d^3r \langle \vec{\nabla} \cdot \hat{\vec{u}}(\vec{r}) \rangle V(\vec{r} - \vec{r}')$$